

h -Monotonically Computable Real Numbers

Zhizhong Zheng^{*1,2}, Robert Rettinger³, and George Barmpalias⁴

¹ Theoretische Informatik, BTU Cottbus, 03044 Cottbus, Germany.
zheng@informatik.tu-cottbus.de

² Dept. of Comput. Sci., Jiangsu University, Zhenjiang 212013, China

³ Theoretische Informatik II, FernUniversität Hagen, 58084 Hagen, Germany.
robert.rettinger@fernuni-hagen.de

⁴ School of Mathematics University of Leeds, Leeds LS2 9JT, U.K.
georgeb@maths.leeds.ac.uk

Received xx yyyyyyy xxxx, revised xx yyyyyyy xxxx, accepted xx yyyyyyy xxxx

Published online xx yyyyyyy xxxx

Key words h -monotone computable reals; ω -monotone computable reals.

MSC (2000) 03F60,03D55

Let $h : \mathbb{N} \rightarrow \mathbb{Q}$ be a computable function. A real number x is called h -monotonically computable (h -mc, for short) if there is a computable sequence (x_s) of rational numbers which converges to x h -monotonically in the sense that $h(n)|x - x_n| \geq |x - x_m|$ for all n and $m > n$. In this paper we investigate classes h -**MC** of h -mc real numbers for different computable functions h . Especially, for computable functions $h : \mathbb{N} \rightarrow (0, 1)_{\mathbb{Q}}$, we show that the class h -**MC** coincides with the classes of computable and semi-computable real numbers if and only if $\sum_{i \in \mathbb{N}} (1 - h(i)) = \infty$ and the sum $\sum_{i \in \mathbb{N}} (1 - h(i))$ is a computable real number, respectively. On the other hand, if $h(n) \geq 1$ and h converges to 1, then h -**MC** = **SC** no matter how fast h converges to 1. Furthermore, for any constant $c > 1$, if h is increasing and converges to c , then h -**MC** = c -**MC**. Finally, if h is monotone and unbounded, then h -**MC** contains all ω -mc real numbers which are g -mc for some computable function g .

Copyright line will be provided by the publisher

1 Introduction

In mathematics, real numbers are usually represented by Cauchy sequences of rational numbers. Naturally, in order to discuss the computability of a real number x , we consider only the computable sequences of rational numbers which converges to x . However, the limits of computable sequences of rational numbers do not match very well the intuition of “computable real numbers”. For example, if x is the limit of a computable sequence (x_s) of rational numbers, we can actually say nothing about the approximation errors $|x - x_s|$ in any effective sense. In other words, we do not know any effective lower or upper bound of x . As a result, only the limits of computable sequences of rational numbers which converge *effectively* are called *computable*. Here a sequence (x_s) converges to x *effectively* means $|x - x_s| \leq 2^{-s}$ for all s (see [10, 5, 9]). This is also equivalent to Turing’s original definition of *computable numbers* in [13] that $x \in [0, 1]$ is computable if it has a computable decimal expansion, i.e., $x = \sum_{n=0}^{\infty} f(n) \cdot 10^{-n}$ for some computable function $f : \mathbb{N} \rightarrow \{0, 1, \dots, 9\}$. On the other hand, the limits of computable sequences of rational numbers are called *computably approximable*. The class of computable and computably approximable real numbers are denoted by **EC** (stands for **E**ffectively **C**omputable) and **CA**, respectively. Here we consider only the real numbers of the unit interval $[0, 1]$ because any other real numbers y can be decomposed as $y = x \pm n$ for $x \in [0, 1]$ and $n \in \mathbb{N}$ and x and y have obviously the same effectivity in any reasonable sense.

In some sense, a sequence (x_s) converges optimally if it converges effectively, because we have a full and effective control on the error-estimation to its limit. As observed by Specker [12], even monotone sequences do not necessarily converge effectively. This leads to a weaker version of computable real numbers. We call a real number x *left computable* (*right computable*) if it is the limit of an increasing (decreasing) computable sequence

* Corresponding author.

of rational numbers. Left and right computable real numbers are called *semi-computable*. The classes of right computable, left computable and semi-computable real numbers are denoted by **LC**, **RC** and **SC**. It is easy to see that a real number x is left computable if and only if it has a c.e. left Dedekind cut $L_x := \{r \in \mathbb{Q} : r < x\}$. Thus, left computable real numbers are also called *computably enumerable* or c.e. for short in literature (see e.g., [4, 2]). The difference of any two left computable real numbers is called *weakly computable* or d-c.e. (see Rodney G. Downey [4]). Ambos, Weihrauch and Zheng [1] show that x is weakly computable if and only if there is a computable sequence (x_s) of rational numbers converging to x weakly effectively in the sense that the sum $\sum_{i=0}^{\infty} |x_i - x_{i+1}|$ is finite and the class **WC** of all weakly computable real numbers is in fact the closure of **SC** under the arithmetical operations $+$, $-$, \times and \div .

Notice that any semi-computable real number x has an effective approximation (x_s) with continuously improving approximation-errors. That is, $|x - x_n| \geq |x - x_m|$ hold for any natural numbers $n < m$. As a generalization of this property, Calude and Hertling [3] introduced the notion of monotonically computability. According to Calude and Hertling, a sequence (x_s) converges to x *monotonically* if there is a constant c such that

$$(\forall n, m \in \mathbb{N})(n < m \implies c \cdot |x - x_n| \geq |x - x_m|). \quad (1)$$

Naturally, a real number x is called *monotonically computable* if there is a computable sequence (x_s) of rational numbers which converges to x monotonically. Obviously, for $c < 1$, condition (1) implies the computability of the limit x . In general, Calude and Hertling showed in [3] that if a computable sequence (x_s) converges monotonically (even for some $c \geq 1$ of (1)) to a computable real number x , then it converges computably in the sense that there is a computable function $d : \mathbb{N} \rightarrow \mathbb{N}$ such that $|x - x_s| \leq 2^{-t}$ for any $s \geq d(t)$. Certainly, we are more interested in monotonically computable real numbers which are not computable. In this case, different constants c reflect the different “non-computability” of the limits. To study more precisely this kind of difference, let’s call a real number x *c-monotonically computable* (c -mc for short) if there is a computable sequence (x_s) of rational numbers which satisfies condition (1) and converges to x . Thus, a real number x is computable if and only if it is c -mc for some $c < 1$ and x semi-computable if and only if it is 1-mc. More interesting properties of c -mc real numbers are shown in [8, 6]. For example, the dense hierarchy theorem of c -mc real numbers holds, i.e., for any constants $c_1 > c_2 \geq 1$, there exists a c_1 -mc real number which is not c_2 -mc. And every c -mc real number is also weakly computable but there is a weakly computable real number which is not c -mc for any constant c , that is, the class of monotonically computable real numbers is properly contained in the class **WC**.

The condition (1) has been extended further by the first and second authors in [6] to the following

$$(\forall n, m \in \mathbb{N})(n < m \implies h(n) \cdot |x - x_n| \geq |x - x_m|) \quad (2)$$

for a function $h : \mathbb{N} \rightarrow \mathbb{Q}$. That is, the ratios of approximation errors are bounded by h . In this case, the sequence (x_s) is called *h-monotonically convergent* and the corresponding limit x is called *h-monotonically computable* (h -mc for short). x is called *ω -monotonically computable* (ω -mc) if it is h -mc for some computable function h . The classes of c -mc, h -mc and ω -mc real numbers are denoted by c -**MC**, h -**MC** and ω -**MC**, respectively. Different from the class of monotonically computable real number class, the class ω -**MC** is not contained in the class **WC** any more and it actually incomparable with the class **WC** as shown in [6].

In this paper we will compare the classes **EC** and **SC** with classes h -**MC** for different computable functions h . Especially, for computable functions $h : \mathbb{N} \rightarrow \mathbb{Q}$ such that $h(n) \leq 1$ for all n , we show that h -**MC** = **EC** if and only if $\sum_{i \in \mathbb{N}} (1 - h(i))$ is infinite; h -**MC** = **SC** if and only if the sum $\sum_{i \in \mathbb{N}} (1 - h(i))$ is a computable real number and **EC** \subsetneq h -**MC** \subsetneq **SC** otherwise. On the other hand, if $h : \mathbb{N} \rightarrow \mathbb{Q}$ is a computable function such that $h(n) \geq 1$ for all n and $\lim_{n \rightarrow \infty} h(n) = 1$, then the class h -**MC** coincides always with **SC** no matter how fast the function h converges 1.

For other constant $c > 1$, the situation is different. We will show that, if h is a monotone computable function which approaches to c from below, then h -**MC** = c -**MC**. And for any computable functions $h_1, h_2 : \mathbb{N} \rightarrow (c, \infty)_{\mathbb{Q}}$, if $\lim_{n \rightarrow \infty} h_1(n) = \lim_{n \rightarrow \infty} h_2(n) = c$, then h_1 -**MC** = h_2 -**MC**. Also for the ω -monotone computability, we have a very simple characterization that, if h is a unbounded monotone computable function, then h -**MC** = ω -**MC**.

In the next section, we explain some useful notions and notations. In Section 3 we recall some basic properties of h -mc real numbers in general. Section 4 discusses the relationships between h -**MC** and **EC** and shows a criterion that h -**MC** coincides with **EC** iff the sum $\sum_{i \in \mathbb{N}} (1 - h(i))$ is infinite. The relationships between h -mc

and semi-computable real numbers are discussed in Section 5. Here we achieve also a criterion that $h\text{-MC} = \text{SC}$ if and only if $\sum_{i \in \mathbb{N}} (1 - h(i))$ is a computable real number. The classes $h\text{-MC}$ for the computable functions h which converge to a constant $c \geq 1$ are investigated in Section 6. In the last section we look at the class of $\omega\text{-mc}$ real numbers.

2 Preliminaries

In this section, we explain some basic notions and notations which will be used in this paper. By \mathbb{N} , \mathbb{Q} and \mathbb{R} we denote the classes of natural, rational and real numbers, respectively. Their positive subsets are denoted by \mathbb{N}^+ , \mathbb{Q}^+ and \mathbb{R}^+ , respectively. If $I \subseteq \mathbb{R}$ is an interval, we denote by $I_{\mathbb{Q}}$ the corresponding interval of rational numbers. For any sets A, B , we denote by $f : A \rightarrow B$ a total function from A to B and by $f : \subseteq A \rightarrow B$ a partial function with $\text{dom}(f) \subseteq A$ and $\text{range}(f) \subseteq B$.

We assume only very basic background on the classical computability theory (cf. e.g. [11, 14]). A function $f : \subseteq \mathbb{N} \rightarrow \mathbb{N}$ is called (partial) computable if there is a Turing machine which computes f . Suppose that (M_e) is an effective enumeration of all Turing machines. Let $\varphi_e : \subseteq \mathbb{N} \rightarrow \mathbb{N}$ be the function computed by the Turing machine M_e and $\varphi_{e,s} : \subseteq \mathbb{N} \rightarrow \mathbb{N}$ an effective approximation of φ_e up to step s . Namely, $\varphi_{e,s}(n) = m$ if the machine M_e with the input n outputs m in s steps and $\varphi_{e,s}(n)$ is undefined otherwise. Thus, (φ_e) is an effective enumeration of all partial computable functions $\varphi_e : \subseteq \mathbb{N} \rightarrow \mathbb{N}$ and $(\varphi_{e,s})$ a uniformly effective approximation of (φ_e) . One of the most important properties of $\varphi_{e,s}$ is that the predicate $\varphi_{e,s}(n) = m$ is effectively decidable and hence in an effective construction we can use $\varphi_{e,s}$ instead of φ_e . The computability notions on other countable sets can be defined by some effective coding. For example, let $\sigma : \mathbb{N} \rightarrow \mathbb{Q}$ be an effective coding of rational numbers. Then a function $f : \subseteq \mathbb{Q} \rightarrow \mathbb{Q}$ is called computable if and only if there is a computable function $g : \subseteq \mathbb{N} \rightarrow \mathbb{N}$ such that $f \circ \sigma(n) = \sigma \circ g(n)$ for any $n \in \text{dom}(f \circ \sigma)$. Other types of computable functions can be defined similarly. Of course, the computability notion on \mathbb{Q} can also be defined directly based on the Turing machine. For the simplicity, we use (φ_e) to denote the effective enumeration of partial computable functions $\varphi_e : \subseteq \mathbb{N} \rightarrow \mathbb{Q}$ too. This should not cause confusion from the context.

A sequence (x_s) of rational numbers is called *computable* if there is a computable function $f : \mathbb{N} \rightarrow \mathbb{Q}$ such that $x_s = f(s)$ for all $s \in \mathbb{N}$. It is easy to see that, (x_s) is computable if and only if there are computable functions $a, b, c : \mathbb{N} \rightarrow \mathbb{N}$ such that $x_s = (a(s) - b(s))/(c(s) + 1)$ for all s .

In this paper, we consider only the h -monotonic computability for the computable functions $h : \mathbb{N} \rightarrow \mathbb{Q}$. Because of the density of \mathbb{Q} in \mathbb{R} , all results can be extended to the cases of the computable functions $h : \mathbb{N} \rightarrow \mathbb{R}$. These results are omitted here for technical simplicity.

3 h -Monotone Computability

In this section, we look at some basic properties of h -monotonically computable real numbers for different computable functions $h : \mathbb{N} \rightarrow \mathbb{Q}$. First of all, by condition (2), it is easy to see that only rational numbers can be $h\text{-mc}$ if $h(n) = 0$ for some natural number n . Therefore, we consider only computable functions $h : \mathbb{N} \rightarrow \mathbb{Q}^+$ in this paper.

For different constants c , the classes $c\text{-MC}$ have been investigated in [8, 6]. We summarize some of the main results about $c\text{-MC}$ as follows.

Theorem 3.1 (Rettinger and Zheng [6])

1. $\text{EC} = c\text{-MC}$ for any $0 < c < 1$ and $\text{SC} = 1\text{-MC}$;
2. $c_1\text{-MC} \subsetneq c_2\text{-MC}$ for any constants $c_2 > c_1 \geq 1$; and
3. $\bigcup_{c \in \mathbb{N}} c\text{-MC} \subsetneq \text{WC}$.

Now we investigate the classes $h\text{-MC}$ for computable functions $h : \mathbb{N} \rightarrow \mathbb{Q}$. Notice that, if (x_s) is a sequence of rational numbers such that $\lim_{s \rightarrow \infty} x_s = x$ and $x \neq x_s$ for all s , then the sequence (x_s) converges to x h -monotonically for the function $h : \mathbb{N} \rightarrow \mathbb{Q}$ defined by $h(n) := \sup\{|x - x_m| : m \geq n\}/|x - x_n|$. But, this function h is not computable in general. Thus, it makes sense only to discuss the h -monotone computability for computable functions h , that is, the class $\omega\text{-MC}$ of $\omega\text{-mc}$ real numbers. The very first question is, whether there

exists a computably approximable real number which is not ω -mc at all? The positive answer is proved by the first and second authors in [6]. In fact, there exists even a weakly computable real number which is not ω -MC. On the other hand, the class ω -MC is not even contained in the class **DBC**, a proper superclass of **WC**, which is the class of all *divergence bounded computable* real numbers introduced in [7, 15]. Namely, x is divergence bounded computable means that there is a computable sequence (x_s) of rational numbers and a computable function f such that, for any n , there are at most $f(n)$ non-overlapping index pairs $i < j$ with $|x_i - x_j| \geq 2^{-n}$. That is we have

Theorem 3.2 (Rettinger, Zheng and Gengler [7])

1. *There exists a weakly computable real number which is not ω -mc; and*
2. *There exists an ω -mc real number which is not divergence bounded computable,*

Thus, the class ω -MC is incomparable with both classes **WC** and **DBC**.

To compare the different classes h -MC, we have obviously h_1 -MC \subseteq h_2 -MC if $h_1(n) \leq h_2(n)$ for all n . This can be weakened slightly as follows.

Lemma 3.3 *If $h_1(n) \leq h_2(n)$ for almost all $n \in \mathbb{N}$, then h_1 -MC \subseteq h_2 -MC.*

This condition is of course not necessary, For instance, by Theorem 3.1.1. **EC** = h -MC if there exists a constant c such that $h(n) < c < 1$ for all n . If we consider the computable functions $h_1, h_2 : \mathbb{N} \rightarrow \mathbb{Q}$ such that $h_1(n), h_2(n) \geq 1$ for all n , then we have another interesting sufficient condition for the inclusion h_1 -MC \subseteq h_2 -MC as follows.

Theorem 3.4 *Let $h_1, h_2 : \mathbb{N} \rightarrow [1, \infty)_{\mathbb{Q}}$ be computable functions. Then h_1 -MC \subseteq h_2 -MC holds if the following condition is satisfied.*

$$(\forall s \in \mathbb{N})(\exists^{\infty} t)(h_1(t) \leq h_2(s)). \quad (3)$$

Proof. Let $h_1, h_2 : \mathbb{N} \rightarrow [1, \infty)_{\mathbb{Q}}$ be two computable functions which satisfy condition (3). Suppose that x is h_1 -mc and (x_s) is a computable sequence of rational numbers which converges to x h_1 -monotonically. By condition (3), there is an increasing computable function $n : \mathbb{N} \rightarrow \mathbb{N}$ such that $h_1(n(s)) \leq h_2(s)$ for all $s \in \mathbb{N}$. Let (y_s) be a computable subsequence of (x_s) defined by $y_s := x_{n(s)}$ for any $s \in \mathbb{N}$. For all $s < t$, since $n(s) < n(t)$, we have $h_1(n(s))|x - x_{n(s)}| \geq |x - x_{n(t)}|$. This implies that

$$h_2(s)|x - y_s| = h_2(s)|x - x_{n(s)}| \geq h_1(n(s))|x - x_{n(s)}| \geq |x - x_{n(t)}| = |x - y_t|.$$

That is, the computable sequence (y_s) converges h_2 -monotonically to x and hence x is also h_2 -mc. Therefore, h_1 -MC \subseteq h_2 -MC. \square

Notice that, if $c \geq 1$ is a constant and $h_1, h_2 : (c, \infty)_{\mathbb{Q}}$ are computable functions such that $\lim_{n \rightarrow \infty} h_1(n) = \lim_{n \rightarrow \infty} h_2(n) = c$, then they satisfy condition (3). Thus we have the following result.

Corollary 3.5 *For any constant $c \geq 1$ and computable functions $h_1, h_2 : \mathbb{N} \rightarrow (c, \infty)_{\mathbb{Q}}$, if $\lim_{n \rightarrow \infty} h_1(n) = \lim_{n \rightarrow \infty} h_2(n) = c$, then h_1 -MC = h_2 -MC.*

4 Monotone Computability vs Computability

In this section, we will discuss the computability of h -mc real numbers for the computable functions $h : \mathbb{N} \rightarrow \mathbb{Q}$ with $h(n) \leq 1$ for all $n \in \mathbb{N}$ and show a necessary and sufficient condition for the equality h -MC = **EC**.

Since h -MC = \mathbb{Q} if $h(n) = 0$ for some n by condition (2), the class **EC** is not contained in every class h -MC for computable function h . However, the next lemma says that if $h(n) > 0$ for all n , then the class h -MC does contain already all computable real numbers, no matter how small the values of $h(n)$'s could be or even if $\lim_{n \rightarrow \mathbb{N}} h(n) = 0$.

Lemma 4.1 *If $h : \mathbb{N} \rightarrow \mathbb{Q}$ is a computable function such that $h(n) > 0$ for all $n \in \mathbb{N}$, then **EC** \subseteq h -MC.*

Proof. Let x be a computable real number and (x_s) a computable sequence which converges to x such that $2^{-s} \leq |x - x_s| \leq 2^{-s+1}$. This implies that $|x - x_s| \leq |x - x_t|$ for any $s \geq t$. Since $h(n) > 0$ for all $n \in \mathbb{N}$, we can define inductively an increasing computable function $g : \mathbb{N} \rightarrow \mathbb{N}$ by $g(0) := 0$ and

$$g(n+1) := (\mu s) \left(s > g(n) \ \& \ h(n) \cdot 2^{-g(n)} > 2^{-s+1} \right).$$

Then, for any $n < m$, we have $g(n+1) \leq g(m)$ and hence

$$h(n) \cdot |x - x_{g(n)}| \geq h(n) \cdot 2^{-g(n)} \geq 2^{-g(n+1)+1} \geq |x - x_{g(n+1)}| \geq |x - x_{g(m)}|.$$

That is, the computable sequence $(x_{g(s)})$ converges h -monotonically to x and hence x is h -mc. \square

On the other hand, it is easy to see that any h -mc real number is computable if there is a constant $c < 1$ such that $h(n) \leq c$ for infinitely many $n \in \mathbb{N}$. Therefore, it suffices to consider only the computable functions $h : \mathbb{N} \rightarrow (0; 1]_{\mathbb{Q}}$ such that $\lim_{n \rightarrow \infty} h(n) = 1$. Even for such kind of function h , the class h -MC can coincide with EC too. The next theorem shows a necessary and sufficient condition when this happens.

Theorem 4.2 *Let $h : \mathbb{N} \rightarrow (0; 1]_{\mathbb{Q}}$ be a computable function. Then the class h -MC coincides with the class EC if and only if $\prod_{i=0}^{\infty} h(i) = 0$. Namely,*

$$h\text{-MC} = \text{EC} \iff \prod_{i=0}^{\infty} h(i) = 0.$$

Proof. “ \Leftarrow ”: Suppose that $h : \mathbb{N} \rightarrow (0; 1]_{\mathbb{Q}}$ is a computable function such that $\prod_{i=0}^{\infty} h(i) = 0$. We are going to show that h -MC = EC. The inclusion EC \subseteq h -MC follows from Lemma 4.1. We show now the another inclusion h -MC \subseteq EC.

Let x be an h -mc real number and (x_s) be a computable sequence of rational numbers which converges to x h -monotonically. Suppose without loss of generality that $|x - x_0| \leq 1$. Since $h(n) \cdot |x - x_n| \geq |x - x_m|$ for all $m > n$, we have $|x - x_n| \leq \prod_{i=0}^n h(i) \cdot |x - x_0| \leq \prod_{i=0}^n h(i)$. Because $\lim_{n \rightarrow \infty} \prod_{i=0}^n h(i) = \prod_{i=0}^{\infty} h(i) = 0$, we can define a strictly increasing computable function $g : \mathbb{N} \rightarrow \mathbb{N}$ inductively as follows.

$$\begin{cases} g(0) & := 0 \\ g(n+1) & := (\mu s) \left(s > g(n) \ \& \ \prod_{i=0}^s h(i) < 2^{-(g(n)+1)} \right). \end{cases}$$

Then the computable sequence (y_s) of rational numbers defined by $y_s := x_{g(s)}$ converges to x effectively and hence $x \in \text{EC}$.

“ \Rightarrow ”: Suppose that $\prod_{i=0}^{\infty} h(i) = c > 0$. Fix a rational number q such that $0 < q < c$. We will construct an increasing computable sequence (x_s) of rational numbers from the unit interval $[0; 1]$ which converges h -monotonically to a non-computable real number x . For the h -monotonic convergence it suffices to satisfy

$$h(n)(x - x_n) \geq x - x_{n+1}$$

for all $n \in \mathbb{N}$. Since $x \leq 1$, this can be reduced further to $h(n)(1 - x_n) \geq 1 - x_{n+1}$ which is equivalent to

$$x_{n+1} \geq 1 - h(n)(1 - x_n). \quad (4)$$

To guarantee that x is not computable, it suffices to satisfy, for all $e \in \mathbb{N}$, the following requirements

$$R_e : \left. \begin{array}{l} \varphi_e \text{ is an increasing total function,} \\ (\forall s) (|\varphi_e(s) - \varphi_e(s+1)| \leq 2^{-(s+1)}) \end{array} \right\} \implies x \neq \lim_{s \rightarrow \infty} \varphi_e(s).$$

Thus, what we have to do is to construct an increasing computable sequence (x_s) which satisfies condition (4) and its limit x satisfies all requirements R_e for $e \in \mathbb{N}$.

Let's explain our idea to construct such a sequence (x_s) informally at first. As the first attempt, simply let $y_0 = 0$ and $y_{n+1} = 1 - h(n)(1 - y_n)$. In this case, we have $y_n = 1 - \prod_{i < n} h(i)$ for any $n \in \mathbb{N}$ and hence $\lim_{n \rightarrow \infty} y_n = 1 - c$. This sequence is an increasing computable sequence and satisfies condition (4) too. Nevertheless, its limit $\lim_{s \rightarrow \infty} y_s = 1 - \prod_{i \in \mathbb{N}} h(i)$ is not necessarily non-computable. To guarantee the

non-computability of x , we make an extra increment of $2\delta_e$ in the definition of y_{s+1} , if it is necessary, so that the requirement R_e is satisfied for all e . Here δ_e is a rational number with $0 < \delta_e < c$. Concretely, if there are natural numbers t, s such that $2^{-t} < \delta_e$ and $\varphi_e(t) < 1 - h(s)(1 - y_s) + \delta_e$, then we define $y_{s+1} := 1 - h(s)(1 - y_s) + 2\delta_e$. In this case, we have $\lim_{n \rightarrow \infty} \varphi_e(n) \leq \varphi_e(t) + 2^{-t} \leq \varphi_e(t) + \delta_e \leq 1 - h(s)(1 - y_s) + 2\delta_e = y_{s+1} < \lim_{n \rightarrow \infty} y_n$. Otherwise, $\lim_{n \rightarrow \infty} \varphi_e(n) \geq \varphi_e(t) - 2^{-t} > \varphi_e(t) - \delta_e \geq \lim_{n \rightarrow \infty} y_n$ for some t with $2^{-t} < \delta_e$. In both cases, R_e is satisfied. This strategy can be implemented for each requirement independently. To guarantee that the sequence remains in the interval $[0, 1]$, the δ_e 's should be chosen in such a way that $\sum_{e \in \mathbb{N}} 2\delta_e \leq c$. Therefore, we can define simply $\delta_e := q \cdot 2^{-(e+2)}$.

The formal construction of the sequence (x_s) :

Stage 0. Define $x_0 = 0$. All requirements are set into the state of “unsatisfied”.

Stage $s + 1$. Given x_s . We say that a requirement R_e *requires attention* if $e \leq s$, R_e is in the state of “unsatisfied” and the following condition is satisfied

$$(\exists t \leq s) (2^{-t} < \delta_e \ \& \ \varphi_{e,s}(t) \leq 1 - h(s)(1 - x_s) + \delta_e) \quad (5)$$

Let R_e be the requirement of the highest priority (i.e., of the minimum index e) which requires attention at this stage. Then define

$$x_{s+1} := 1 - h(s)(1 - x_s) + 2\delta_e$$

and set R_e to the state of “satisfied”. In this case, we say that R_e *receives attention* at stage $s + 1$.

Otherwise, if no requirement requires attention at stage $s + 1$, then define simply

$$x_{s+1} := 1 - h(s)(1 - x_s).$$

To show that our construction succeeds, we prove the following sublemmas.

Sublemma 4.2.1 *For any $e \in \mathbb{N}$, the requirement R_e receives attention at most once and hence $\sum_{i=0}^{\infty} \sigma(i) \leq q$, where $\sigma(s) := 2\delta_e$ if the requirement R_e receives attention at stage $s + 1$, and $\sigma(s) := 0$ otherwise.*

Proof of sublemma: By the construction, if a requirement R_e receives attention at stage s , then R_e is set into the state of “satisfied” and will never require attention again after stage s . That is, it receives attention at most once. This implies that, for any $e \in \mathbb{N}$, there is at most one $s \in \mathbb{N}$ such that $\sigma(s) = 2\delta_e$. Therefore, $q = \sum_{e=0}^{\infty} 2\delta_e \geq \sum_{i=0}^{\infty} \sigma(i)$.

Sublemma 4.2.2 *The sequence (x_s) is an increasing computable sequence of rational numbers from the interval $[0, 1]$ and it converges h -monotonically to some $x \in [0, 1]$.*

Proof of sublemma: At first we prove by induction on n the following claim

$$(\forall n \in \mathbb{N}) \left(x_n \leq 1 - \prod_{i < n} h(i) + \sum_{i < n} \sigma(i) \right). \quad (6)$$

For $n = 0$, the claim (6) holds trivially, because $\prod_{i \in \emptyset} \dots = 1$ and $\sum_{i \in \emptyset} \dots = 0$ by convention.

Assume by induction hypothesis that the claim holds for n . Then we have

$$\begin{aligned} x_{n+1} &= 1 - h(n)(1 - x_n) + \sigma(n) \\ &\leq 1 - h(n) \cdot \prod_{i < n} h(i) + h(n) \cdot \sum_{i < n} \sigma(i) + \sigma(n) \\ &\leq 1 - \prod_{i < n+1} h(i) + \sum_{i < n+1} \sigma(i). \end{aligned}$$

That is, the claim holds also for $n + 1$ and this completes the proof of the claim. Since $\prod_{i < n} h(i) \geq \prod_{i=0}^{\infty} h(i) > q \geq \sum_{i=0}^{\infty} \sigma(i) \geq \sum_{i < n} \sigma(i)$ for any $n \in \mathbb{N}$, it follows that $x_n < 1$ for any $n \in \mathbb{N}$. Furthermore, because of

$$\begin{aligned} x_{n+1} - x_n &= 1 - h(n)(1 - x_n) + \sigma(n) - x_n \\ &\geq 1 - h(n)(1 - x_n) - x_n = (1 - h(n))(1 - x_n) > 0 \end{aligned}$$

for any $n \in \mathbb{N}$, the sequence (x_s) is a strictly increasing computable sequence of rational numbers from $[0; 1]$.

Besides, by the construction, the sequence (x_s) satisfies obviously the condition (4). Therefore, it converges to some $x \in [0; 1]$ h -monotonically and hence x is an h -mc real number.

Sublemma 4.2.3 *For any $e \in \mathbb{N}$, the requirement R_e is eventually satisfied and hence x is not a computable real number.*

Proof of sublemma. For any $e \in \mathbb{N}$, suppose that the premises of the requirement R_e are satisfied. Namely, φ_e is an increasing total function and satisfies the condition that $|\varphi_e(s) - \varphi_e(s+1)| \leq 2^{-(s+1)}$ for all s . Then the limit $z_e := \lim_{t \rightarrow \infty} \varphi_e(t)$ exists and $|z_e - \varphi_e(s)| \leq 2^{-s}$ holds for any $s \in \mathbb{N}$. We consider the following two cases:

Case 1. The requirement R_e receives attention at some stage $s+1$. According to condition (5), there is a natural number $t \leq s$ such that $2^{-t} < \delta_e$ and $\varphi_e(t) \leq 1 - h(s)(1 - x_s) + \delta_e$. This implies that $\lim_{n \rightarrow \infty} \varphi_e(n) \leq \varphi_e(t) + 2^{-t} \leq 1 - h(s)(1 - x_s) + 2\delta_e \leq x_{s+1} < x$. That is, $\lim_{t \rightarrow \infty} \varphi_e(t) \neq x$ and hence R_e is satisfied in this case.

Case 2. Suppose that the requirement R_e does not receive attention at all. We will show that R_e is satisfied too. By Sublemma 4.2.1, we can choose an s_0 large enough such that no requirement R_i for $i < e$ receives attention after stage s_0 . For a contradiction assume that $z_e = \lim_{t \rightarrow \infty} \varphi_e(t) = x$. From the hypothesis of R_e , φ_e is an increasing total function. Choose $t, s_1 > s_0$ such that $2^{-t} < \delta_e$ and $\varphi_{e,s_1}(t)$ is defined. Then there exists an $s_2 \geq \max\{e, t\}$ such that $\varphi_{e,s_2}(t) < x_{s_2}$. Since $0 < h(s_2), x_{s_2} < 1$, we have $x_{s_2} < 1 - h(s_2)(1 - x_{s_2})$. This implies that $\varphi_{e,s_2}(t) \leq 1 - h(s_2)(1 - x_{s_2}) + \delta_e$. That is, condition (5) is satisfied at stage s_2+1 . Therefore, R_e will require and also receive attention at stage s_2+1 , because no requirement R_i for $i < e$ requires attention at this stage. This is a contradiction.

In summary, x is an h -monotonically computable but not computable real number. This completes the proof of the theorem. \square

Corollary 4.3 *Let $h : \mathbb{N} \rightarrow (0, 1]_{\mathbb{Q}}$ be a computable function. Then $h\text{-MC} = \mathbf{EC}$ if and only if the sum $\sum_{i \in \mathbb{N}} (1 - h(i))$ is infinite.*

Proof. It follows immediately from Theorem 4.2 and a classical result that the sum $\sum_{i \in \mathbb{N}} (1 - h(i))$ is infinite if and only if the product $\prod_{i \in \mathbb{N}} h(i)$ is equal to 0. \square

5 Semi-computability

In this section we discuss the relationship between h -monotone computability and semi-computability. We will show that, for any computable function $h : \mathbb{N} \rightarrow (0, 1]_{\mathbb{Q}}$, $h\text{-MC} = \mathbf{SC}$ if and only if the sum $\sum_{i \in \mathbb{N}} (1 - h(i))$ is a computable real number.

First of all we show a simple sufficient condition that all h -mc real numbers are semi-computable.

Lemma 5.1 *Let $h : \mathbb{N} \rightarrow \mathbb{Q}$ be a computable function such that $h(n) \leq 1$ for infinitely many $n \in \mathbb{N}$. Then $h\text{-MC} \subseteq \mathbf{SC}$.*

Proof. Let $h : \mathbb{N} \rightarrow \mathbb{Q}$ be a computable function with $(\exists^\infty n)(h(n) \leq 1)$. Then there exists an increasing computable function $g : \mathbb{N} \rightarrow \mathbb{N}$ such that $h(g(n)) \leq 1$ for all n . Suppose that x is an h -mc real number and (x_s) is a computable sequence (x_s) of rational numbers which converges to x h -monotonically. Then the sequence (x_s) can be sped up by choosing a subsequence $(x_{g(s)})$. Actually, we can easily see that the computable sequence $(x_{g(s)})$ of rational numbers converges to x $h \circ g$ -monotonically, i.e., x is $h \circ g$ -mc. On the other hand, since $(\forall n \in \mathbb{N})(h \circ g(n) \leq 1)$, x is a semi-computable real number by Theorem 3.1. \square

By Lemma 5.1, if $(\exists^\infty n)(h(n) \leq 1)$, then any h -mc real number x is semi-computable. However, a computable sequence which h -monotonically converges to x is not necessarily monotone and a monotone sequence converging to x does not automatically converge h -monotonically. But the next result shows that, for any such h -mc real number x , there exists a monotone computable sequence which converges to x h -monotonically.

Lemma 5.2 *Let $h : \mathbb{N} \rightarrow \mathbb{Q}$ be a computable function such that $h(n) \leq 1$ for infinitely many $n \in \mathbb{N}$. If x is an h -mc real number, then there is a monotone computable sequence which converges to x h -monotonically.*

Proof. Let (x_s) be a computable sequence of rational numbers which converges h -monotonically to x and $h : \mathbb{N} \rightarrow \mathbb{Q}$ a computable function such that $h(n) \leq 1$ for infinitely many n . Suppose that (n_s) is the strictly increasing sequence of all natural numbers n_s such that $h(n_s) \leq 1$ and denote by $n(s)$ the least $n_i \geq s$. Now we consider the computable sequence (y_s) defined by $y_s := x_{n(s)}$ for all s .

We show at first that the sequence (y_s) converges h -monotonically to x . For any $s < t$, if $n(s) = n(t)$, then there is an i such that $s < t \leq n_i$ and $n(s) = n_i$ and hence $h(s) > 1$. This implies that $h(s)|x - y_s| = h(s)|x - x_{n(s)}| > |x - x_{n(t)}| = |x - y_t|$. Otherwise, if $n(s) < n(t)$, then there is an $i \in \mathbb{N}$ such that $s \leq n_i < t$, $n(s) = n_i$ and $n(s) < n(t)$. In this case, we have $h(s) = h(n_i)$ if $s = n_i$ and $h(s) > 1 \geq h(n_i)$ otherwise, i.e., $h(s) \geq h(n(s))$. This implies that $h(s)|x - y_s| = h(s)|x - x_{n(s)}| \geq h(n(s))|x - x_{n(s)}| \geq |x - x_{n(t)}| = |x - y_t|$.

Notice that, if $y_s < y_{s+1}$, then $n(s) < n(s+1)$ and hence there is an $i \in \mathbb{N}$ such that $s = n_i < s+1$. This means that $h(s) = h(n_i) \leq 1$. Therefore we have $y_s < x$, because otherwise $x \leq y_s$ and so $h(s)|x - y_s| \leq y_s - x < y_{s+1} - x$ which contradicts the h -monotonic convergence of the sequence (y_s) . Similarly, if $y_s > y_{s+1}$, then $y_s > x$. Now there are following four possibilities:

Case 1. The inequality $y_s < y_{s+1}$ hold for almost all $s \in \mathbb{N}$. In this case, we can easily transform the sequence (y_s) to a monotone one which converges h -monotonically to x .

Case 2. $y_s > y_{s+1}$ hold for almost all $s \in \mathbb{N}$. We can do similar to the case 1.

Case 3. For almost all s , $y_s = y_{s+1}$. In this case, the limit x is in fact a rational number and we are done.

Case 4. $(\exists^\infty s)(y_s < y_{s+1})$ and $(\exists^\infty s)(y_s > y_{s+1})$. In this case, we can define an increasing computable sequence and a decreasing computable sequence which converge both to x . For example, the increasing computable sequence (z_s) can be defined by $z_s := y_{g(s)}$ where $g : \mathbb{N} \rightarrow \mathbb{N}$ is defined inductively by

$$\begin{cases} g(0) & := (\mu s)(y_s < y_{s+1}) \\ g(n+1) & := (\mu s)(s > g(n) \ \& \ y_{g(n)} < y_s < y_{s+1}). \end{cases}$$

But in this case, x is a computable real number and hence there is an increasing computable sequence which converges to x h -monotonically by Lemma 4.1. \square

As mentioned at the beginning of this section, if $c < 1$, then any c -mc real number is computable. It is natural to ask, for a function h with $0 < h(n) < 1$ for any $n \in \mathbb{N}$, is any h -mc real number computable too, or is there any 1-mc real number which is not h -mc for any computable function h with $(\forall n)(h(n) < 1)$? Next theorem gives a negative answer to both of these questions.

Theorem 5.3 *Every semi-computable real number is h -mc for a computable function $h : \mathbb{N} \rightarrow \mathbb{Q}$ such that $0 < h(n) < 1$ for any $n \in \mathbb{N}$.*

Proof. Suppose that x is a left computable real number and (x_s) is a strictly increasing computable sequence of rational numbers which converges to x . Let a be a rational number which is greater than x . Define a computable function $h : \mathbb{N} \rightarrow \mathbb{Q}$ by $h(n) := \frac{a - x_{n+1}}{a - x_n}$ for any $n \in \mathbb{N}$. Then $0 < h(n) < 1$ because $x_n < x_{n+1}$ for any $n \in \mathbb{N}$. Actually, the sequence (x_s) converges to x h -monotonically because

$$\begin{aligned} h(n) \cdot |x - x_n| &= (x - x_n) \left(\frac{a - x_{n+1}}{a - x_n} \right) > (x - x_n) \left(\frac{x - x_{n+1}}{x - x_n} \right) \\ &= (x - x_{n+1}) \geq |x - x_m| \end{aligned}$$

for any natural numbers n and $m > n$.

Similarly, if x is a right computable real number and (x_s) a strictly decreasing computable sequence of rational numbers which converges to x , then we define a computable function $h : \mathbb{N} \rightarrow \mathbb{Q}$ by $h(n) := \frac{x_{n+1}}{x_n}$ for any natural numbers n . Obviously, we have $0 < h(n) < 1$ for any $n \in \mathbb{N}$ and the sequence (x_s) converges to x h -monotonically because

$$\begin{aligned} h(n) \cdot |x - x_n| &= (x_n - x) \left(\frac{x_{n+1}}{x_n} \right) > (x_n - x) \left(\frac{x_{n+1} - x}{x_n - x} \right) \\ &= (x_{n+1} - x) \geq |x - x_m| \end{aligned}$$

for any natural numbers $m > n$. \square

By Theorem 4.2, the condition $h(n) < g(n)$ for all n does not suffice to separate the class $g\text{-MC}$ from $h\text{-MC}$. The next theorem gives a sufficient condition such that $g\text{-MC} = h\text{-MC}$.

Theorem 5.4 *Let $g, h : \mathbb{N} \rightarrow (0, 1)_{\mathbb{Q}}$ be computable functions. If $h(n) \leq g(n)$ holds for all n and the sum $u := \sum_{i \in \mathbb{N}} (g(i) - h(i))$ is a computable real number, then $g\text{-MC} = h\text{-MC}$.*

Proof. Let $g, h : \mathbb{N} \rightarrow (0, 1)_{\mathbb{Q}}$ be computable functions such that $h(n) \leq g(n)$ for all n . We need only to prove the non-trivial inclusion $g\text{-MC} \subseteq h\text{-MC}$. Let x be a g -mc real number. If x is computable, then it is also h -mc by Lemma 4.1. Suppose that x is left computable but not computable. Then, by Lemma 5.2, there exists an increasing computable sequence (x_s) of rational numbers which converges to x g -monotonically. That is, we have $g(n)(x - x_n) \geq x - x_{n+1}$ which is equivalent to

$$x \leq x_n + \frac{x_{n+1} - x_n}{1 - g(n)} \quad (7)$$

for all n . Let $v_n := \min\{x_m + \frac{x_{m+1} - x_n}{1 - g(m)} : m \leq n\}$. Then we have $x \leq v_{n+1} \leq v_n$ and hence the limit $v := \lim_{n \rightarrow \infty} v_n$ exists and $x \leq v$. Thus, we have $v \leq x_n + \frac{x_{n+1} - x_n}{1 - g(n)}$ which is further equivalent to

$$(1 - g(n))(v - x_n) \leq x_{n+1} - x_n \quad (8)$$

for all n . Since x is left computable but not computable and v is right computable, we have actually $x < v$. Therefore, we can choose a rational number r such that $0 < r < v - x$.

By assumption, the sum $u := \sum_{i \in \mathbb{N}} (g(i) - h(i))$ is computable and hence there exists a decreasing computable sequence (u_n) of rational numbers which converges to u . Now we can define a computable sequence (y_n) of rational numbers by $y_n := x_n - \delta_n$ for $\delta_n := r \cdot (u_n - \sum_{i < n} (g(i) - h(i)))$ for all n . Since $y_{n+1} - y_n = (x_{n+1} - x_n) + r \cdot (u_n - u_{n+1}) + (g(n) - h(n)) > 0$, the sequence (y_n) is increasing. Furthermore, we have $\lim_{n \rightarrow \infty} y_n = \lim_{n \rightarrow \infty} x_n$ because $\lim_{n \rightarrow \infty} \delta_n = 0$. In addition, for large n , we have $x - y_n \leq r$ and hence the following inequality holds

$$\begin{aligned} y_{n+1} - y_n &= (x_{n+1} - x_n) + (\delta_n - \delta_{n+1}) \\ &= (x_{n+1} - x_n) + r \cdot ((u_n - u_{n+1}) + (g(n) - h(n))) \\ &\geq (1 - g(n))(v - x_n) + r \cdot (g(n) - h(n)) \\ &\geq r \cdot (1 - g(n)) + r \cdot (g(n) - h(n)) \\ &= r \cdot (1 - h(n)) \geq (x - y_n)(1 - h(n)). \end{aligned}$$

Therefore, x is h -mc too. For right computable x , the proof is similar. \square

The Theorem 5.4 can be easily strengthened to the following form.

Theorem 5.5 *Let $g, h : \mathbb{N} \rightarrow (0, 1)_{\mathbb{Q}}$ be computable functions. If the sum $u := \sum_{i \in \mathbb{N}} |g(i) - h(i)|$ is a computable real number, then $g\text{-MC} = h\text{-MC}$.*

Proof. It suffices to apply Theorem 5.4 to functions g_1 and h_1 defined by $g_1(n) := \max\{g(n), h(n)\}$ and $h_1(n) := \min\{g(n), h(n)\}$, respectively, for all n . \square

From the proof, Theorem 5.4 can not be generalized directly to the case of constant function $g \equiv 1$. However, the result does hold correspondingly for $g \equiv 1$.

Theorem 5.6 *Let $h : \mathbb{N} \rightarrow (0, 1]_{\mathbb{Q}}$ be a computable function. If the sum $\sum_{i=0}^{\infty} (1 - h(i))$ is a computable real number, then $\text{SC} = h\text{-MC}$.*

Proof. We need only to show the non-trivial inclusion $\text{SC} \subseteq h\text{-MC}$. Let $h : \mathbb{N} \rightarrow (0, 1]_{\mathbb{Q}}$ be a computable function such that the sum $u := \sum_{i=0}^{\infty} (1 - h(i))$ is a computable real number. Notice that, by condition (2), an increasing sequence (y_s) converges to x h -effectively if and only if

$$y_{s+1} - y_s \geq (x - y_s)(1 - h(s)) \quad (9)$$

hold for all s . Thus, if x is, say, left computable and (x_s) an increasing computable sequence of rational numbers which converges to x , then the sequence (y_s) defined by

$$y_s := x_s - \sum_{i>s} (1 - h(i)) \quad (10)$$

satisfies condition (9). However, if the sum $u := \sum_{i=0}^{\infty} (1 - h(i))$ is not computable, then (y_s) is not necessarily computable. Nevertheless, if u is computable, then there is a decreasing computable sequence (u_s) which converges to u . Now instead of (10), we can define a computable sequence (y_s) of rational numbers by

$$y_s := x_s - u_s + \sum_{i \leq s} (1 - h(i)) \quad (11)$$

and the sequence (y_s) is increasing and satisfies condition (9) too. That is, (y_s) converges to x h -monotonically and hence $x \in h\text{-MC}$. For right computable x , we can prove similarly the $x \in h\text{-MC}$. \square

Notice that, for any computable functions $g, h : \mathbb{N} \rightarrow \mathbb{Q}$, if $h(n) \leq g(n) \leq 1$ for all n and $\sum_{i \in \mathbb{N}} (1 - h(n))$ is computable, then $g\text{-MC} = \mathbf{SC}$ because $\mathbf{SC} = h\text{-MC} \subseteq g\text{-MC} \subseteq \mathbf{SC}$. Actually, in this case, the sum $\sum_{i \in \mathbb{N}} (1 - g(i))$ is computable too as shown in the next lemma.

Lemma 5.7 *Let $h, g : \mathbb{N} \rightarrow (0, 1)_{\mathbb{Q}}$ be computable functions. If $g(s) \leq h(s)$ holds for all s and the sum $u := \sum_{i \in \mathbb{N}} (1 - g(i))$ is a computable real number, then the sum $v := \sum_{i \in \mathbb{N}} (1 - h(i))$ is computable too.*

Proof. Since the sum $u := \sum_{i \in \mathbb{N}} (1 - g(i))$ is computable, there exists an increasing computable sequence (u_s) of rational numbers converging to u such that $u - u_s \leq 2^{-s}$ for all s . Let $n(s)$ denote the least natural number t such that $\sum_{i=0}^t (1 - g(i)) \geq u_s$ and $v_s := \sum_{i=0}^{n(s)} (1 - h(i))$ for all s . Then (v_s) is an increasing computable sequence of rational numbers which satisfies the following

$$\begin{aligned} v - v_s &= \sum_{i>n(s)} (1 - h(i)) \leq \sum_{i>n(s)} (1 - g(i)) \\ &= u - \sum_{i \leq n(s)} (1 - g(i)) \leq u - u_s \leq 2^{-s} \end{aligned}$$

for all s . That is, the sequence (v_s) converges to v effectively and hence v is computable. \square

Now we show that the computability of the sum $\sum_{i \in \mathbb{N}} (1 - h(i))$ is in fact a necessary condition for the equality $\mathbf{SC} = h\text{-MC}$.

Theorem 5.8 *Let $h : \mathbb{N} \rightarrow (0, 1)_{\mathbb{Q}}$ be a computable function. If $\sum_{i \in \mathbb{N}} (1 - h(i))$ is not a computable real number, then there is a left computable real number x which is not h -monotonically computable, i.e., $\mathbf{SC} \not\subseteq h\text{-MC}$.*

Proof. Let $h : \mathbb{N} \rightarrow (0, 1)_{\mathbb{Q}}$ be a computable function such that $\sum_{i \in \mathbb{N}} (1 - h(i))$ is not computable. We are going to construct a computable nondecreasing sequence (x_s) of rational numbers which converges to x such that x is not h -mc. Since x is left computable, by Lemma 5.2, if x is h -mc, then there exists an increasing computable sequence of rational numbers which converges h -monotonically. Thus, x needs only to satisfy, for all $e \in \mathbb{N}$, the following requirements:

$$R_e : \left. \begin{array}{l} \varphi_e \text{ is an increasing total function and} \\ (\varphi_e(s)) \text{ converges } h\text{-monotonically to } y_e \end{array} \right\} \implies y_e \neq x,$$

where (φ_e) is an effective enumeration of computable functions $\varphi_e : \subseteq \mathbb{N} \rightarrow \mathbb{Q}$.

We explain the idea how a single requirement R_e can be satisfied. Let $\Sigma_5 := \{0, 1, 2, 3, 4\}$ and \mathbb{I} be set of all rational subintervals of the interval $[0, 1]$. We define at first an interval tree $I : \Sigma_5^* \rightarrow \mathbb{I}$ inductively by $I(\lambda) := [0, 1]$ and $I(wi) := [u_i, u_{i+1}]$ for all $w \in \Sigma_5^*$ and $i < 5$, where $u_0 < u_1 < u_2 < u_3 < u_4 < u_5$ is an equidistant subdivision of the interval $I(w)$. The interval $I(w)$ is denoted by $[a_w, b_w]$ for any $w \in \Sigma_5^*$. Then we have $a_w = \sum_{i < |w|} 5^{-(i+1)} \cdot w[i]$ and $b_w = a_w + 5^{-|w|}$. Let us begin with the interval $[0, 1]$ as the base interval of

R_e . Our goal is to find a subinterval $J \subseteq [0, 1]$ such that any point of J except endpoints satisfies the requirement R_e . In this case, the interval J is called a witness interval of R_e .

Assume that φ_e is a total function and $(\varphi_e(s))$ is an increasing sequence. At the beginning, let $I(11)$ be the first candidate of the witness interval of R_e . If the sequence $(\varphi_e(s))$ does not enter the interval $I(11)$, then we are done. Otherwise, suppose that there exists an s_0 such that $\varphi_e(s_0) \in I(11)$. If there exists a $t < s_0$ such that $h(t)(a_3 - \varphi_e(t)) < a_3 - \varphi_e(t+1)$ holds, then we change the witness interval to be $I(3)$. In this case, for any $x \in I(3)$, we have $h(t)(x - \varphi_e(t)) < x - \varphi_e(t+1)$ and hence $I(3)$ is a correct witness interval of R_e . Otherwise, if the inequality $h(t)(a_3 - \varphi_e(t)) \geq a_3 - \varphi_e(t+1)$ holds for all $t < s_0$, then we choose $I(131)$ to be a new candidate of witness interval. Analogously, if there exists an $s_1 > s_0$ such that $\varphi_e(s_1) \in I(131)$, then we choose either $I(3)$ or $I(1331)$ to be the new candidate of witness interval of R_e , depending on whether there exists a $t < s_1$ such that $h(t)(a_3 - \varphi_e(t)) < a_3 - \varphi_e(t+1)$ holds, and so on. Let's look at the possible outcome of our construction. If the interval $I(3)$ is chosen as a witness interval at some stage, then we are done because the sequence $(\varphi_e(s))$ does not converge to any element of $I(3)$.

Otherwise, if $I(3)$ has never been chosen as the witness interval of R_e , then there are two possibilities. Either there is a $k \in \mathbb{N}$ such that $I(13^k1)$ is chosen as a candidate of witness interval and there does not exist s such that $\varphi_e(s) \in I(13^k1)$ and hence $I(13^k1)$ is a correct witness interval of R_e or each of the following intervals $I(11), I(131), I(1331), \dots$ is chosen to be a candidate of witness interval at some stage. In the latter case, there exists a computable increasing sequence (s_n) of natural numbers such that $\varphi_e(s_n) \in I(13^n1)$ for all n . This implies that the limit $y_e := \lim_{s \rightarrow \infty} \varphi_e(s) = 5^{-1} + 3 \cdot \sum_{i=2}^{\infty} 5^{-i}$ is a computable real number. In addition, we have the inequality $h(n)(a_3 - \varphi_e(n)) \geq a_3 - \varphi_e(n+1)$ holds for all $t \in \mathbb{N}$. That is, we have

$$(1 - h(n))(a_3 - \varphi_e(n)) \leq \varphi_e(n+1) - \varphi_e(n) \quad (12)$$

for all n . Notice that $a_3 - \varphi_e(n) > 5^{-1}$ for all n . Now we define a computable function $g : \mathbb{N} \rightarrow (0, 1)_{\mathbb{Q}}$ by

$$g(n) := 1 - 5 \cdot (\varphi_e(n+1) - \varphi_e(n))$$

for all n . By condition (12), we have $1 - g(n) = 5(\varphi_e(n+1) - \varphi_e(n)) \geq 5(1 - h(n))(a_3 - \varphi_e(n)) \geq 1 - h(n)$ and hence $g(n) \leq h(n)$ for all n . On the other hand, we have $\sum_{n \in \mathbb{N}} (1 - g(n)) = 5 \sum_{n \in \mathbb{N}} (\varphi_e(n+1) - \varphi_e(n)) = 5(y_e - \varphi_e(0))$. That is, the sum $\sum_{n \in \mathbb{N}} (1 - g(n))$ is a computable real number and hence $\sum_{n \in \mathbb{N}} (1 - h(n))$ is computable too by Lemma 5.7. This contradicts the hypothesis on h . This contradiction implies that only finitely many intervals can be chosen to be the candidate of a witness interval of R_e and the last one is a correct one.

To satisfy all requirements R_e simultaneously, we apply a standard finite injury priority construction. We omit the details here. \square

By Corollary 4.3, Theorem 5.6 and Theorem 5.8 we can summarize the possible class h -MC for computable function $h : \mathbb{N} \rightarrow (0, 1]$ as follows.

Corollary 5.9 *Let $h : \mathbb{N} \rightarrow (0, 1]_{\mathbb{Q}}$ be a computable function, then the following hold.*

1. If $\sum_{n \in \mathbb{N}} (1 - h(n)) = \infty$, then h -MC = EC;
2. If $\sum_{n \in \mathbb{N}} (1 - h(n))$ is finite and computable, then h -MC = SC; and
3. If $\sum_{n \in \mathbb{N}} (1 - h(n))$ is finite but not computable, then EC \subsetneq h -MC \subsetneq SC.

Thus, for computable functions $h : \mathbb{N} \rightarrow (0, 1]_{\mathbb{Q}}$, the corresponding class h -MC can coincide with EC or SC or locate strictly between EC and SC, depending on how fast the function h converges to 1 from below. However, if we consider a computable function $h : \mathbb{N} \rightarrow [1, \infty)_{\mathbb{Q}}$ which converges to 1 from above, the situation is completely different.

Theorem 5.10 *Let $h : \mathbb{N} \rightarrow [1, \infty)_{\mathbb{Q}}$ be a computable function. If $\lim_{n \rightarrow \infty} h(n) = 1$, then SC = h -MC.*

Proof. The inclusion $\mathbf{SC} \subseteq h$ -MC follows immediately from the equation $\mathbf{SC} = 1$ -MC (Theorem 3.1.2) and the inclusion 1 -MC $\subseteq h$ -MC (Lemma 3.3). Now we prove the other inclusion h -MC $\subseteq \mathbf{SC}$. By Corollary 3.5, if there exists a computable function $h : \mathbb{N} \rightarrow (1, \infty)_{\mathbb{Q}}$ which converges to 1 such that h -MC $\subseteq \mathbf{SC}$, then we are done. Let us consider the function h defined by $h(s) := 1 + 2^{-s}$ for all s .

Let $x \in [0, 1]$ be an h -mc real number and (x_s) a computable sequence of rational numbers of the unit interval $[0, 1]$ which converges to x h -monotonically. If $|x_s - x_{s+1}| \leq 2^{-s}$ holds for almost all s , then we are done because the limit x is computable and hence is also semi-computable in this case. Suppose that there are infinitely many s such that $|x_s - x_{s+1}| > 2^{-s}$. Since (x_s) converges to x h -monotonically, we have $h(s)|x - x_s| \geq |x - x_{s+1}|$ which is equivalent to

$$\begin{cases} x \leq x_s - \frac{x_{s+1} - x_s}{h(s)-1} \text{ or } x \geq x_s + \frac{x_{s+1} - x_s}{h(s)+1}, & \text{if } x_s < x_{s+1}; \\ x \leq x_s + \frac{x_{s+1} - x_s}{h(s)-1} \text{ or } x \geq x_s - \frac{x_{s+1} - x_s}{h(s)+1}, & \text{if } x_{s+1} < x_s. \end{cases} \quad (13)$$

for all s . Now we consider the following cases.

Case 1. There are infinitely many s such that $x_s < x_{s+1}$ and $|x_s - x_{s+1}| > 2^{-s}$. For these indices s , we have $x_s < x$ because of condition (13). Otherwise, if $x \leq x_s$, then $x \leq x_s - \frac{x_{s+1} - x_s}{h(s)-1} = x_s - (x_{s+1} - x_s) \cdot 2^s < x_s - 1 < 0$ which contradicts the choice of $x \in [0, 1]$. Thus, we can construct an increasing computable subsequence of (x_s) which converges to x and hence x is left computable.

Case 2. There are finitely many s such that $x_s < x_{s+1}$ and $|x_s - x_{s+1}| > 2^{-s}$. Then, there must exist infinitely many s such that $x_s > x_{s+1}$ and $|x_s - x_{s+1}| > 2^{-s}$. In this case, we can show that x is right computable in a way similar to the case 1.

In both cases we can conclude that that x is semi-computable and hence $h\text{-MC} \subseteq \text{SC}$ holds. \square

6 Around a Constant $c > 1$

In section 5, we investigated the h -mc real numbers for computable functions which approach 1. For such kind of functions h , we have $h\text{-MC} \subseteq \text{SC}$. In this section we discuss the classes $h\text{-MC}$ for computable functions $h : \mathbb{N} \rightarrow \mathbb{Q}$ which converge to a constant $c > 1$. We will show that $h\text{-MC} = c\text{-MC}$ if h converges to c from below. If the computable functions $h, g : \mathbb{N} \rightarrow (c, \infty)_{\mathbb{Q}}$ converge to c from above, then we have $g\text{-MC} = h\text{-MC}$.

Different from the case of constant 1, the next theorem shows that, if $c > 1$ and $h : \mathbb{N} \rightarrow (0, c)_{\mathbb{Q}}$ is a computable function which converges to c from below, then the class $h\text{-MC}$ is always the same no matter how fast the functions h approaches the constant c .

Theorem 6.1 *Let $c > 1$ be a constant and $h_1, h_2 : \mathbb{N} \rightarrow (0, c)_{\mathbb{Q}}$ be computable functions. If h_2 is nondecreasing and $\lim_{n \rightarrow \infty} h_1(n) = \lim_{n \rightarrow \infty} h_2(n) = c$, then $h_1\text{-MC} \subseteq h_2\text{-MC}$.*

Proof. Let $h_1, h_2 : \mathbb{N} \rightarrow (0, 1)_{\mathbb{Q}}$ be computable functions, h_2 is nondecreasing and $\lim_{n \rightarrow \infty} h_1(n) = \lim_{n \rightarrow \infty} h_2(n) = c > 1$. Suppose, w.l.o.g., that $h_1(n), h_2(n) \geq 1$ for all $n \in \mathbb{N}$. We will show that $h_1\text{-MC} \subseteq h_2\text{-MC}$.

Let x be an h_1 -mc real number and (x_s) a computable sequence of rational numbers which converges h_1 -monotonically to x . Since $h_1(n) < c = \lim_{s \rightarrow \infty} h_2(s)$ for all n , there is a strictly increasing computable sequence (n_i) of natural numbers such that $h_2(n_i) \geq h_1(i)$ for any $i \in \mathbb{N}$. Let $n(s)$ denote the largest i such that $n_i \leq s$ and define a computable sequence (y_s) of rational numbers by $y_s := x_{n(s)}$ for all s . We show that (y_s) converges to x h_2 -monotonically.

For any natural numbers $s < t$, if $n(s) = n(t)$, then there is an $i \in \mathbb{N}$ such that $n_i \leq s < t < n_{i+1}$ and $n(s) = n(t) = i$. In this case, we have

$$h_2(s)|x - y_s| = h_2(s)|x - x_{n(s)}| \geq |x - x_{n(t)}| = |x - y_t|,$$

since $h_2(s) \geq 1$. Otherwise, if $n(s) < n(t)$, then there are $i < j$ such that $n(s) = i$, $n(t) = j$ and $n_i \leq s < n_j \leq t$. Because h_2 is increasing and $h_2(n_i) \geq h_1(i)$, we conclude that

$$h_2(s)|x - y_s| \geq h_2(n_i)|x - x_{n(s)}| \geq h_1(i)|x - x_i| \geq |x - x_j| = |x - y_t|.$$

Therefore, the sequence (y_s) converges to x h_2 -monotonically and hence x is h_2 -mc. \square

Corollary 6.2 *Let $c > 1$ be a constant and $h_1, h_2 : \mathbb{N} \rightarrow (0, c)_{\mathbb{Q}}$ computable functions. If there exists a nondecreasing computable function $g : \mathbb{N} \rightarrow \mathbb{Q}$ such that the limit $\lim_{s \rightarrow \infty} g(s) = c$ and $h_1(s), h_2(s) \geq g(s)$ for all s , then $h_1\text{-MC} = h_2\text{-MC}$.*

The above proof does not work if h_1 or h_2 is the constant function c . But a corresponding result holds. To show that we need a new technique.

Definition 6.3 Let $h : \mathbb{N} \rightarrow \mathbb{Q}$ be a function. A sequence (x_s) of rational numbers is called *h-reduced* if, for all $i < j$, there does not exist natural numbers $s > j$ such that $h(i)|x_s - x_i| < |x_s - x_j|$.

Lemma 6.4 Let $h : \mathbb{N} \rightarrow [1, \infty)_{\mathbb{Q}}$ be a computable function. If (x_s) is a computable sequence of rational numbers which converges *h-monotonically* to x , then there exists an *h-reduced* computable sequence of rational numbers which converges to x too.

Theorem 6.5 Let $c > 1$ be a constant and $h : \mathbb{N} \rightarrow (0, c)_{\mathbb{Q}}$ a computable function. If there is a nondecreasing computable function $g : \mathbb{N} \rightarrow (0, c)_{\mathbb{Q}}$ such that $g(n) \leq h(n)$ for all n and $\lim_{n \rightarrow \infty} g(n) = c$, then $c\text{-MC} = h\text{-MC}$.

Proof. Let $h : \mathbb{N} \rightarrow (0, c)_{\mathbb{Q}}$ be a computable function. Then the inclusion $h\text{-MC} \subseteq c\text{-MC}$ is trivial. By Corollary 6.2, it suffices to prove that for any c -mc real number x , there exists an increasing computable function $g : \mathbb{N} \rightarrow (0, c)_{\mathbb{Q}}$ with $\lim_{s \rightarrow \infty} g(s) = c$ such that x is g -mc.

Let $x \in [0, 1]$ be a c -mc real number and (x_s) a c -reduced computable sequence of rational numbers of $[0, 1]$ which converges to x c -monotonically. Assume w.l.o.g that x is not semi-computable. Thus we have $c \cdot |x - x_i| \geq |x - x_j|$ for any natural numbers $i < j$. In other words, the limit x does not belong to the open interval $I(i, j)$ which is defined by

$$I(i, j) := \begin{cases} \left(x_i - \frac{x_j - x_i}{c-1}, x_i + \frac{x_j - x_i}{c+1} \right), & \text{if } x_i < x_j; \\ \left(x_i - \frac{x_j - x_i}{c+1}, x_i + \frac{x_j - x_i}{c-1} \right), & \text{otherwise.} \end{cases}$$

The interval $I(i, j)$ is called a forbidden interval of index (i, j) (for $i < j$). Now we can prove the following claim.

Claim 6.5.1 For any natural number n , there exist natural numbers i, j, k with $n < i < j < k$ such that $I(i, j) \cap I(j, k) \neq \emptyset$

Proof. Assume by contradiction that the claim does not hold. That is, there is an n such that $I(i, j) \cap I(j, k) = \emptyset$ for any $n < i < j < k$. Choose an index pair $i < j$ large enough such that $\delta = |x_i - x_j|$ very small. Suppose that $x_i < x_j$. Since $I(i, j) \cap I(j, s) = \emptyset$ for any $s > j$, then we have $(x_j - x_s)(c+1) \leq \delta - \delta/(c+1)$, i.e., $x_s \geq x_j - c\delta$, if $x_s < x_j$; and $(x_s - x_j)/(c-1) \leq \delta - \delta/(c+1)$, i.e., $x_s \leq x_j + \frac{c-1}{c+1}c\delta$, otherwise. This implies that the limit x locates in the interval $\left[x_j - c\delta, x_j + \frac{c-1}{c+1}c\delta \right]$. Since (x_s) converges to x , we can choose $\delta = |x_i, x_j|$ arbitrarily small. Thus, x is a computable real number which contradicts the hypothesis that x is not semi-computable. \square (claim)

By Claim 6.5.1, there is a computable sequence (i_n, j_n, k_n) of index-triples such that $i_n < j_n < k_n < i_{n+1}$ and $I(i_n, j_n) \cap I(j_n, k_n) \neq \emptyset$ for all n . Then, we define a computable sequence (y_n) of rational numbers by $y_n := (x_{i_n} + x_{j_n})/2$ for all n . Let $\epsilon_n := |x_{i_n} - y_n|/2$. Then we can define an increasing computable function $g : \mathbb{N} \rightarrow (0, c)_{\mathbb{Q}}$ such that $c - g(n) \leq c\epsilon_n/2$ for all n . Notice that, by assumption, we have $|x - x_n| \leq 1$ and $\epsilon_n \leq 1/2$ for all n . Thus, for any natural numbers $n > m$, we have

$$\begin{aligned} g(n)|x - y_n| &= (c - \delta_n) (\min\{|x - x_{i_n}|, |x - x_{j_n}|\} + \epsilon_n) \\ &= c \cdot \min\{|x - x_{i_n}|, |x - x_{j_n}|\} + c\epsilon_n \\ &\quad - \delta_n (\min\{|x - x_{i_n}|, |x - x_{j_n}|\} + \epsilon_n) \\ &\geq \max\{|x - x_{i_m}|, |x - x_{j_m}|\} + c\epsilon_n - 2\delta_n \\ &\geq \max\{|x - x_{i_m}|, |x - x_{j_m}|\} \\ &= |x - y_m| + \epsilon_m \geq |x - y_m|, \end{aligned}$$

where $\delta_n := c - g(n)$. That is, the computable sequence (y_n) converges to x g -monotonically. Thus, x is g -mc. \square

Similar to Theorem 6.1, for the case above a constant $c > 1$, we have only one class h -MC for any computable function $h : \mathbb{N} \rightarrow (c, \infty)_{\mathbb{Q}}$ such that $\lim_{s \rightarrow \infty} h(s) = c$.

Theorem 6.6 *Let $c > 1$ be a constant and $h_1, h_2 : \mathbb{N} \rightarrow (c, \infty)_{\mathbb{Q}}$ computable functions. If $\lim_{s \rightarrow \infty} h_1(s) = \lim_{s \rightarrow \infty} h_2(s) = c$, then h_1 -MC = h_2 -MC.*

Proof. Let $h_1, h_2 : \mathbb{N} \rightarrow (c, \infty)_{\mathbb{Q}}$ be computable functions such that $\lim_{s \rightarrow \infty} h_1(s) = \lim_{s \rightarrow \infty} h_2(s) = c$. For any $s \in \mathbb{N}$, since $h_2(n) > c$, there exist infinitely many t such that $h_1(t) \leq h_2(s)$. By Theorem 3.4, we have h_1 -MC \subseteq h_2 -MC. Similarly, we can show that h_2 -MC \subseteq h_1 -MC holds too. \square

7 ω -Monotonically Computable Real Numbers

In the preceding sections we discussed the h -mc real numbers for computable functions h which converge to constants. All these real numbers are weakly computable as shown in Theorem 3.1. The situation is of course different if we consider also unbounded computable functions h , because it is shown in [8] that the class of ω -mc real numbers is incomparable with WC. In this section we will discuss the ω -mc real numbers. Naturally, we are interested in some kind of hierarchy of ω -MC by different classes h -MC according to the increasing speed of h . However we will see that this is impossible, because for any unbounded monotone computable function h we have already h -MC = ω -MC.

Theorem 7.1 *Let $h : \mathbb{N} \rightarrow \mathbb{Q}^+$ be a monotone and unbounded computable function. Then h -MC = ω -MC.*

Proof. Suppose that $h : \mathbb{N} \rightarrow \mathbb{Q}^+$ is a monotone and unbounded computable function. We are going to show that ω -MC \subseteq h -MC. Let x be an ω -mc real number. Then there is a computable function $g : \mathbb{N} \rightarrow \mathbb{Q}$ and a computable sequence (x_s) of rational numbers which converges g -monotonically to x . Since h is unbounded, we can define an increasing computable sequence (n_i) of natural numbers such that $h(n_i) \geq g(i)$ for all $i \in \mathbb{N}$. Let (y_s) be a computable sequence of rational numbers defined by $y_s := x_{n(s)}$ for any $s \in \mathbb{N}$, where $n(s)$ is the maximum i such that $n_i \leq s$. Then, the sequence (y_s) converges obviously also to x . Now we will show that the sequence (y_s) convergence actually h -monotonic to x .

For natural numbers $s < t$, if $n(s) = n(t)$, i.e., there is an i such that $n_i \leq s < t < n_{i+1}$ and $n(s) = i$, then $h(s)|x - y_s| = h(s)|x - x_i| \geq |x - x_i| = |x - y_t|$. Otherwise, if $n(s) < n(t)$, then there are $i < j$ such that $n_i \leq s < n_j \leq t$ and $n(s) = i < j = n(t)$. Since h is increasing, this implies that $h(s)|x - y_s| \geq h(n_i)|x - x_i| \geq g(i)|x - x_i| \geq |x - x_j| = |x - y_t|$. Therefore, x is h -monotonically computable. \square

Corollary 7.2 *Let $h : \mathbb{N} \rightarrow \mathbb{Q}$ be a computable function. If there exists an unbounded monotone computable function g such that $g(n) \leq h(n)$ for all n , then h -MC = ω -MC.*

References

- [1] K. Ambos-Spies, K. Weihrauch, and X. Zheng. Weakly computable real numbers. *Journal of Complexity*, 16(4):676–690, 2000.
- [2] C. S. Calude. A characterization of c. e. random reals. *Theor. Comput. Sci.*, 271(1-2):3–14, 2002.
- [3] C. S. Calude and P. H. Hertling. Computable approximations of reals: an information-theoretic analysis. *Fund. Inform.*, 33(2):105–120, 1998.
- [4] R. G. Downey. Some computability-theoretical aspects of real and randomness. Preprint, September 2001.
- [5] J. Myhill. Criteria of constructibility for real numbers. *The Journal of Symbolic Logic*, 18(1):7–10, 1953.
- [6] R. Rettinger and X. Zheng. On the hierarchy and extension of monotonically computable real numbers. *J. Complexity*, 19(5):672–691, 2003.
- [7] R. Rettinger, X. Zheng, R. Gengler, and B. von Braunmühl. Weakly computable real numbers and total computable real functions. In *Proceedings of COCOON 2001, Guilin, China, August 20-23, 2001*, volume 2108 of LNCS, pages 586–595. Springer, 2001.
- [8] R. Rettinger, X. Zheng, R. Gengler, and B. von Braunmühl. Monotonically computable real numbers. *Math. Log. Quart.*, 48(3):459–479, 2002.
- [9] H. G. Rice. Recursive real numbers. *Proc. Amer. Math. Soc.*, 5:784–791, 1954.
- [10] R. M. Robinson. Review of “Peter, R., Rekursive Funktionen”. *The Journal of Symbolic Logic*, 16:280–282, 1951.
- [11] R. I. Soare. *Recursively enumerable sets and degrees. A study of computable functions and computably generated sets.* Perspectives in Mathematical Logic. Springer-Verlag, Berlin, 1987.

-
- [12] E. Specker. Nicht konstruktiv beweisbare Sätze der Analysis. *The Journal of Symbolic Logic*, 14(3):145–158, 1949.
 - [13] A. M. Turing. On computable numbers, with an application to the “Entscheidungsproblem”. *Proceedings of the London Mathematical Society*, 42(2):230–265, 1936.
 - [14] K. Weihrauch. *Computability*, volume 9 of *EATCS Monographs on Theoretical Computer Science*. Springer, Berlin, 1987.
 - [15] X. Zheng. Recursive approximability of real numbers. *Mathematical Logic Quarterly*, 48(Suppl. 1):131–156, 2002.